

**INFORMED CONSENT DOCUMENT  
AGREEMENT TO BE IN A RESEARCH STUDY**

**Sponsor / Study Title:** Pfizer Inc / “A PHASE 1, OPEN-LABEL, RANDOMIZED, SINGLE-DOSE, CROSSOVER STUDY TO ESTIMATE THE RELATIVE BIOAVAILABILITY OF PF-07321332/RITONAVIR ORAL POWDER IN 3 DIFFERENT DELIVERY VEHICLES RELATIVE TO THE COMMERCIAL PF-07321332/RITONAVIR TABLETS IN HEALTHY ADULT PARTICIPANTS UNDER FASTED CONDITIONS”

**Protocol Number:** C4671024

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**INTRODUCTION**

You are here today as a possible participant in a drug research study sponsored by Pfizer Inc. Taking part in this study is voluntary (your choice). The study staff will be available to answer questions before, during, and after the study.

The sponsoring company (the company paying for this study), Pfizer Inc, employs the study investigator conducting this study.

If you are not completely honest about your health history, you may be harmed by being in this study.

**PURPOSE OF THE STUDY**

PF-07321332 will be referred to as the “study drug” in the rest of this consent document.

The purposes of this study are:

- To see how a new drug under study is tolerated, if there are significant side effects, and how people feel after taking it and ritonavir
  - Ritonavir acts as a booster by increasing the amount of study drug in your blood
- To measure and compare the amount of study drug and ritonavir in your blood after single doses of the powder formulation (300 mg of study drug combined with 100 mg of ritonavir) and the commercial tablet formulation (two 150 mg tablets) of study drug with ritonavir (100 mg tablet)
- To assess the palatability (acceptable taste) of the powder formulation of the study drug when mixed with water, applesauce, and vanilla pudding
- To measure the amount of study drug and ritonavir in your blood using a micro sampling technique to collect your blood

The study drug is an investigational drug being studied to treat people with SARS-CoV-2, the virus that causes COVID-19. In December 2019, COVID-19 was identified as a new, potentially fatal respiratory infection. The World Health Organization (WHO) declared COVID-19 a Public Health Emergency of International Concern on 30 January 2020 and further characterized the disease outbreak as a pandemic on 11 March 2020. COVID-19 manifests as a wide range of illnesses, from asymptomatic infection to severe pneumonia, acute respiratory distress syndrome (ARDS) and death. “Investigational” means that the drug has not been approved by the United States (U.S.) Food and Drug Administration (FDA). The commercial tablet formulation of the study drug has an emergency use authorization from the FDA. Study drug will be given as tablets, which you will swallow, and a powder. The powder contains the study drug and ritonavir. It will be mixed with water, applesauce, and vanilla pudding.

Ritonavir will also be given in this study. Ritonavir is the generic name of the brand name Norvir®. Ritonavir is an approved antiviral medication that has been in use for decades. It is used to treat people with human immunodeficiency virus (HIV) infection and the acquired immunodeficiency syndrome (AIDS). In this research study, ritonavir will be used as an interacting agent to boost the blood level of study drug. The doses of ritonavir given in this study are much lower, and given for a shorter period of time, than are used by patients who take it as a treatment for HIV or AIDS. Ritonavir, at the same doses to be used in this study, is included in the commercial tablet packet that is FDA-authorized to act as a boosting agent.

A new public health declaration, called the Public Readiness and Emergency Preparedness Declaration (PREP), was issued by the Department of Health and Human Services on March 10, 2020. This declaration limits the legal rights of a participant participating in a COVID-19 clinical study that uses a drug, device or vaccine designed to treat, diagnose, cure or prevent COVID-19. This includes the study drug PF-07321332 and study device for blood draws used in this study. Participants using PF-07321332 and the study device in this study will have limits on their right to sue the manufacturers, the study sponsor, healthcare providers and others for significant injuries and adverse reactions.

## **ABOUT THE STUDY**

### **Number of Study Participants**

There will be up to 12 participants taking part in this study.

### **Length of Study for Participants**

You will be in this study for about 42 days. This does not include the time between screening and dosing, which can be up to 28 days.

This study involves:

- 4 dosing periods during one continuous admission
- 16 overnight stays at the Clinical Research Unit (CRU). You will not be able to leave the CRU during that time
- 1 follow-up phone call about 4 weeks after the last dose

## Eligibility to Participate in Another Drug Study

Your eligibility to take part in another study depends on information from this study and previous studies. You may be eligible to receive a different study drug in another study as soon as 30 days after your last dose of study drug in this study. This is true for most drugs. Some drugs may stay in your body longer which means that you may have to wait longer before joining another study. These results are usually known after your last blood sample is tested. We will tell you this as soon as possible. We will also tell you if there is a longer than usual period of time that you should not be in another drug study after this one. Our goal is to keep you from doing anything that might potentially harm you.

## Dosing Plan

The dose of the study drug that is currently authorized to treat COVID patients is 300 mg twice a day for 5 days.

Dosing in this study is planned as follows:

Study Treatment Sequence	Number of Participants	Study Period			
		1	2	3	4
1	3	Study Treatment A	Study Treatment B	Study Treatment C	Study Treatment D
2	3	Study Treatment B	Study Treatment D	Study Treatment A	Study Treatment C
3	3	Study Treatment C	Study Treatment A	Study Treatment D	Study Treatment B
4	3	Study Treatment D	Study Treatment C	Study Treatment B	Study Treatment A

Study Treatment A: Single oral (by mouth) dose of 300 mg of study drug (two 150 mg tablets, commercial formulation) with 100 mg of ritonavir (single 100 mg tablet)

Study Treatment B: Single oral dose of 300 mg of study drug with 100 mg of ritonavir (powder formulation) mixed with water

Study Treatment C: Single oral dose of 300 mg of study drug with 100 mg of ritonavir (powder formulation) mixed with applesauce

Study Treatment D: Single oral dose of 300 mg of study drug with 100 mg of ritonavir (powder formulation) mixed with vanilla pudding

The powder formulation that you will receive is compounded in our pharmacy for use in this study. Compounded means that the ingredients are added together and mixed to make the final dose.

On Day 1 of each dosing period, you will be given a single oral dose of study drug and ritonavir after an overnight fast (nothing to eat or drink except water) of at least 10 hours.

When the study drug and ritonavir are given separately, as tablets, they will be given at the same time within no more than 5 minutes of each other.

When the study drug and ritonavir are mixed with water, you will drink the dose as a liquid.

When study drug and ritonavir are mixed with applesauce or vanilla pudding, you will eat each one of these mixtures.

All doses of study drug with ritonavir will be given with about 8 oz. of water. Tablet doses must be swallowed whole. Powder doses of ritonavir and study drug mixed with water must be swallowed completely. Powder doses mixed with applesauce or vanilla pudding must be completely eaten. We will check your mouth after all doses to make sure the doses have been completely swallowed.

The order in which you receive each dose will depend on your study treatment sequence. Your study treatment sequence will be randomly assigned, like the flip of a coin. Both you and the study staff will know which of the above you are receiving.

This is a research study. The study drugs will be given to you only during this study and not after the study is over.

## **Study Process**

Before any study procedures begin, you will be asked to read, sign, and date this consent document.

### **Screening**

After you sign and date the consent document, you will begin screening. The purpose of the screening is to find out if you meet all of the requirements to take part in the study. Procedures that will be completed during the study (including screening) are described below. If you do not meet the requirements, you will not be able to take part in the study. The study investigator or study staff will explain why.

As part of screening, you must complete all of the items listed below:

- Give your race, age, gender, and ethnicity
- Give your medical history
  - You must review and confirm the information in your medical history questionnaire
- Give your drug, alcohol, and tobacco use history
- Give your past and current medication and treatment history. This includes any over-the-counter or prescription drugs, such as vitamins, dietary supplements, or herbal supplements, taken in the past 28 days
- Height and weight will be measured
- Vital signs (blood pressure, heart rate, and oral temperature) will be measured
- Electrocardiograms (ECG) will be collected. An ECG measures the electrical activity of the heart
- Complete a COVID-19 questionnaire
- All participants will be tested for COVID-19 at each visit to the CRU
  - Study staff may be wearing masks, face shields, respirator hoods, gowns, and gloves
    - You will be provided a mask, and are required to wear it at all times
    - You will be tested for COVID-19 by collection of a swab sample
- Safety lab tests will be done from blood and urine samples. In addition:
  - Blood tests for aPTT, PT-INR, and fibrinogen (tests of your blood's ability to clot)
  - Blood tests for HIV, hepatitis B, and hepatitis C
  - Urine to test for drugs of abuse (illegal and prescription)
  - Females able to have children will have a blood pregnancy test
  - Females who have not had a period for at least 12 months in a row will have a blood hormone test to confirm they cannot have children

- The study investigator may decide to do an alcohol breath test
- The use of proper birth control will be reviewed
- Physical exam. This may be done at screening or when you check-in for the study
- You will be asked “How do you feel?”

### **HIV and Hepatitis Testing**

HIV, hepatitis B, and hepatitis C will be tested at screening. If anyone is exposed to your blood during the study, you will have these tests done again. If you have a positive test, you cannot be in or remain in the study.

HIV is the virus that causes AIDS. If your HIV test is positive, you will be told about the results.

It may take weeks or months after being infected with HIV for the test to be positive. The HIV test is not always right.

Having certain infections or positive test results may have to be reported to the State Department of Health. This includes results for HIV, hepatitis, and other infections. If you have any questions about what information is required to be reported, please ask the study investigator or study staff.

Although this testing is meant to be private, complete privacy cannot be guaranteed. For example, it is possible for a court of law to get health or study records without your permission.

### **During the Study**

The events below will take place throughout the study. If you would like to know when exactly these will take place, please ask the study staff.

- You will be asked about any updates to your medical history. This includes prior diseases, medication, drug, alcohol, and tobacco use
- Physical exam
- The use of proper birth control will be confirmed/reviewed
- Vital signs will be measured. Your oral temperature may also be measured
- ECGs will be collected
  - It may be necessary to shave or trim hair on your chest so that the patches for the ECGs will stick to your skin
  - Your chest may be marked with a pen to identify the correct areas for ECG patch placement
- You will be asked: “How do you feel?” each day
- An intravenous (IV) catheter may be placed in a vein in one of your arms for blood collection
- The study investigator may decide to do an alcohol breath test at any time
- You will complete a COVID-19 questionnaire
- You will be swabbed for COVID-19
- Blood and urine samples will be collected at various times throughout the study
  - Safety Labs: The blood and urine samples will be used for safety labs including the following:
    - Blood samples for aPTT, PT-INR, and fibrinogen
    - Urine samples to test for drugs of abuse
    - Blood samples for pregnancy testing (females able to have children). Pregnancy tests may be performed at the discretion of the study investigator in all females

- Any leftover blood from the safety lab samples may be stored and used to assess exploratory safety biomarkers or unexpected safety findings. Biomarkers are natural substances in your body that can be used to show how your body works
    - Samples to be used for this purpose will be kept for up to 1 year following completion of this study
  - Study Drug Levels: Blood samples will also be used to measure the levels of study drug and ritonavir
    - As part of understanding how your body absorbs, distributes, and gets rid of the study drug and ritonavir, the samples may also be used for the following:
      - Metabolite identification (by-products or end products of a drug produced as the body processes a drug)
      - Endogenous (within the body) biomarkers
      - Evaluate safety or efficacy (ability to produce a desired effect) aspects related to any concerns during or after the study
      - Check the laboratory test which measures the study drug and ritonavir
      - Other internal exploratory purposes
  - Blood/Plasma (a component of blood) Ratio: Blood samples will also be used for blood/plasma analysis of study drug and ritonavir (Study Treatments A and B only)
  - Retained Research Samples: Samples of your blood will be collected, stored, and used to learn more about the study drug
    - Biological substances in your samples, including your genes, may be studied
    - These samples may be kept by Pfizer for as long as the samples are useful for scientific research. This may be for many years (no time limit)
- You will be asked “How do you feel?”
  - You will complete a taste questionnaire for each of the powder doses
  - You will receive a follow-up phone call about 4 weeks after the last dose of study drug
  - For safety reasons, we may add procedures at any time during the study to check on your health status

### **Blood Draws**

Blood samples will be taken by individual needlesticks, or by a catheter. A catheter is a small tube that is placed in a vein in your arm to take blood when required. Catheters are used when ordered by the study investigator or when required by the study plan. They are not used at the request of the participant.

Some blood samples may also be taken by an investigational automated blood draw device attached to your upper arm. Investigational means the blood draw device has not been approved by the FDA. This will be done 6 times after each dosing with the study drug. This study device is temporarily attached to your skin and collects blood from capillaries. Capillaries are tiny blood vessels that connect arteries to veins. The study device is attached to the skin using a light adhesive. The study device collects a single sample and is then removed from the skin. The maximum amount of blood collected at each sample time is up to about 0.4 mL, or 6 drops. The blood samples will be used to measure the amount of study drug and ritonavir.

There will be about 62 blood draws. The total amount of blood drawn during the study will be about 300 mL. This is equal to about 10 oz, or about 1¼ cups. For comparison, the standard blood donation is about 16 oz. (2 cups), once in any 56-day period.

As with all studies with blood draws, rest and good eating habits are recommended.

Blood loss in this amount may lead to a low red blood cell count (anemia). Anemia can make you feel more tired than usual.

## **Possible Risks and Discomforts**

Taking part in this study has some risks. The study drug(s) or procedure(s) may make you feel unwell or uncomfortable or could harm you. If you do not understand what any of the side effects described below mean, please let us know. The study investigator or study staff will explain them to you.

It is important that you report all side effects that you have as soon as they occur. This is regardless of whether or not you believe they are caused by the study drug or your participation in this study.

If you are not honest about any side effects that you have during the study, you may be harmed by staying in the study.

### **Study Drug Risks**

You may experience risks or discomforts when taking part in this study. The most common discomforts described are change in sense of taste, diarrhea, headache, and vomiting. This is not a complete list of risks or discomforts. A comprehensive list of risks and discomforts is provided further below in this consent document. However, there may be other risks or side effects that are unknown. Human clinical studies do not always predict the side effects of experimental drugs that people may experience. There may be rare and unknown side effects, including reactions that may be life threatening and could result in sickness or death.

The study drug, taken with ritonavir, has been studied in more than 1,200 adults. This includes healthy participants and non-hospitalized participants with COVID-19. As of December 11, 2021, safety information is available from a large clinical trial in participants with COVID-19. A total of 1,109 participants received the study drug with ritonavir. A total of 1,115 participants received placebo (contains no active drug). Participants received study drug with ritonavir, or placebo, twice a day for 5 days.

The most common side effects that occurred in greater than 1% (more than 1 participant in every 100 participants) of the participants with COVID-19 who received study drug with ritonavir were:

- Change in sense of taste
- Headache
- Diarrhea
- Nausea
- Vomiting
- Abnormal laboratory test results including:
  - Increased liver function tests
  - Decreased kidney function tests
  - Abnormal clotting tests

Of the most commonly reported side effects, the following were reported more frequently in participants who received the study drug and ritonavir compared with participants who received placebo:

- Change in sense of taste
- Headache
- Diarrhea
- Vomiting

Because the study drug is given with ritonavir, which is used to treat HIV, there is a risk for participants with HIV that has not been diagnosed or is not well controlled to develop resistance to some antiretroviral drugs used to treat HIV. This means that some antiretroviral drugs may not work properly to treat HIV.

Some medicines interact with ritonavir. The study investigator will review these medicines with you.

### **Ritonavir Risks**

Like all drugs, this drug can cause side effects, although not everybody gets them. Also, the side effects of ritonavir when used with other antiviral medicines are dependent on the other medicines.

#### **Very common: may affect more than 1 in 10 people:**

- Upper or lower stomachache
- Vomiting
- Diarrhea (may be severe)
- Feeling sick (nausea)
- Flushing (redness), feeling hot
- Headache
- Dizziness
- Pain in the throat
- Cough
- Upset stomach or indigestion
- A tingling sensation or numbness in the hands, feet or around the lips and mouth
- Feeling weak/tired
- Bad taste in the mouth
- Damage to the nerves that can cause weakness and pain
- Itching
- Rash
- Joint pain and back pain

#### **Common: may affect up to 1 in 10 people:**

- Allergic reactions including skin rashes (may be red, raised, itchy), severe swelling of the skin and other tissues
- Inability to sleep (insomnia)
- Anxiety
- Increase in cholesterol
- Increase in triglycerides
- Gout (painful joint inflammation due to increased uric acid)
- Stomach bleeding
- Inflammation of the liver and yellowing of skin or whites of the eyes
- Inflammation of the pancreas
- Increase in urination
- Reduced kidney function
- Seizures (fits)
- Low levels of blood platelets (blood cells that help blood to clot)
- Thirst (dehydration)
- Abnormally heavy periods
- Gas (flatulence)
- Loss of appetite
- Mouth ulcer
- Muscle aches (pain), tenderness or weakness



- Fever
- Weight loss
- Changes in blood test results (such as blood chemistry and blood count)
- Confusion
- Difficulty paying attention
- Fainting
- Blurred vision
- Swelling of the hands and feet
- High blood pressure
- Low blood pressure and feeling faint when getting up
- Coldness in the hands and feet
- Acne

Uncommon: may affect up to 1 in 100 people:

- Heart attack
- Diabetes
- Kidney failure

Rare: may affect up to 1 in 1,000 people:

- Severe or life-threatening skin reaction including blisters (Stevens Johnson syndrome, toxic epidermal necrolysis)
- Serious allergic reaction (anaphylaxis)
- High levels of sugar in the blood (hyperglycemia)

When you take more than one drug at a time, the side effects can be worse or different than if you take either drug by itself.

Until you know how the study drug(s) will affect you, you should use caution by:

- Avoiding stairs
- Not driving a car
- Not swimming or bathing in a tub
- Not working with machinery or at heights

**Other Risks**

Because the study drug is investigational, all of its side effects are not known. There may be rare and unknown side effects. These include reactions that may cause sickness or death.

All drugs have a potential risk of an allergic reaction. If an allergic reaction is not treated quickly, it could become life-threatening. You should get medical help (by calling 911 or immediately going to an emergency room) right away if you think you have any of the following symptoms:

- Trouble breathing
- Wheezing
- Difficulty swallowing
- Swelling of the face, mouth, lips, gums, tongue, or neck

Other allergic reactions may include:

- Itchiness
- Rash
- Hives
- Blisters
- Palpitations (racing heart)
- Chest discomfort/tightness
- Muscle pains/stiffness

At times, the following may also be symptoms of an allergic reaction:

- Diarrhea
- Nausea
- Vomiting
- Abdominal pain

If a significant side effect occurs, the following may be done:

- Tests or treatment(s) may be given as needed for your safety
- Depending on how severe your symptoms are, you may be seen by outside medical providers or a hospital. This would be for further evaluation and/or treatment
- The study investigator may notify your emergency contact as appropriate in the event of an emergency while you are taking part in the study

## **Additional Risks or Discomforts**

### **Testing of DNA and/or RNA (deoxyribonucleic acid and/or ribonucleic acid)**

Genes are pieces of DNA that give coded instructions for the body. Parts of the code are passed down from parents to their children.

The genes in your samples may be studied. This may include analyzing all of your genetic information. This is called “whole genome sequencing”. While collection of genetic information does not expose you to physical risk, collection of such information may result in a loss of your privacy if your genetic information is lost or stolen.

There is a very small chance that your genetic information could be misused by people not involved with the research, including to discriminate against you. However, steps are in place to prevent a particular result from being linked to you and to prevent unauthorized people from even knowing genetic research was done.

U.S. federal law prohibits discrimination in health insurance coverage and employment based on a person’s genetic data. However, U.S. federal law does not protect against discrimination when you are applying for:

- Life insurance
- Long term care insurance
- Disability insurance

You should talk to your physician or genetic counselor about the potential for genetic discrimination.

The results of tests on your sample(s) will not be given to:

- You
- The study investigator
- Any insurance company
- Your employer
- Your family
- Any physician who treats you

### **Blood Samples and IV Catheters (if used)**

Possible side effects of having your blood drawn or an IV catheter inserted include:

- Bleeding at the site of the needle puncture
- Bruising
- Feeling faint
- Rarely, infection or blood clot
- Redness of the vein
- Inflammation of the vein
- Swelling
- Pain
- Nerve damage
- Vein irritation from the fluid or medication being given
- Local swelling due to IV fluid accidentally entering the tissue rather than the vein
- Scarring

If you feel faint, tell one of the study staff immediately.

### **Automated Blood Draw Study Device**

Possible side effects of having your blood collected using the study device include:

- Irritation from the adhesive
- Feeling pain at the site where the study device pricks the surface of the skin
- Slight chance of infection
- Scarring

You may experience an allergic reaction to the adhesive in the study device. An allergic reaction could cause:

- Itching
- Hives
- Rash
- Swelling

### **COVID-19 Testing**

Collection of a swab sample may cause:

- Discomfort
- Sneezing
- Your eyes to water
- Gagging
- Possible nosebleed

You are required to disclose any use of anti-inflammatory drugs in the last 7 days or any previous history of nasal surgery.

There is a risk of COVID-19 infection when you are in close contact with study staff or other study participants during the screening process and during the study. However, preventative safety procedures will be followed during screening and the study to minimize the risk of COVID-19 transmission.

If you test positive for COVID-19 you cannot be in the study. If you have a positive result it will be reported to the State Department of Health. If you have any questions about what information must be reported, please ask the study investigator or study staff.

### **ECG**

Possible side effects from having an ECG include:

- Irritation or rash from the adhesive on the patches

A rash may result in a long-lasting discoloration of your skin. If it is necessary to shave the area where the patches need to be, irritation from shaving may occur.

### **Fasting**

Fasting could cause symptoms such as:

- Dizziness
- Headache
- Stomach discomfort
- Fainting
- Hypoglycemia (low blood sugar)

### **Other**

The length of time that you may be confined to the CRU may make you feel uncomfortable.

### **Discomfort with questionnaires**

Some people feel uncomfortable when answering questions about the quality of their life. Though it is always better to have fully completed questionnaires, you do not need to answer any questions that make you feel uncomfortable.

### **Use of Birth Control**

#### **Females unable to have children**

Women in this study not able to get pregnant include women who:

- Have had their uterus removed (documented)
- Have had both fallopian tubes removed (documented)
- Have had both ovaries removed (documented)
- Have not had a period for at least 12 months in a row with no other medical cause. You must have a blood hormone level confirming that you cannot get pregnant

Females permanently unable to have children due to a medical cause not listed above may be allowed to participate in the study at the discretion of the study investigator.

**Females able to have children**

If you are sexually active, you must use a highly effective method of birth control. The birth control must be used consistently and correctly from the start of dosing (earlier for hormonal birth control), during the study, and for at least 28 days after the last dose of study drug.

Please note that ritonavir can make estradiol-containing birth control less effective. A barrier method or other nonhormonal method of birth control must also be used if you are using estradiol-containing birth control.

**Highly effective methods of birth control include:**

- Implantable progestogen-only hormone birth control
- Intrauterine device (IUD)
- Intrauterine hormone-releasing system
- Bilateral tubal occlusion (both tubes blocked) which includes bilateral tubal ligation (both tubes tied)
- Partner has a vasectomy (absence of sperm confirmed)
- Hormonal birth control\* (**See Note below**)
- Sexual abstinence – defined as refraining from heterosexual intercourse during the study and for 28 days after the last dose of study drug, and is the preferred and usual lifestyle of the participant

**PLUS**

**\*Note:** One of the following barrier methods must be used in addition to the use of **hormonal birth control methods**:

- Male or female condom with or without spermicide
- Cervical cap, diaphragm, or sponge with spermicide
- A combination of male condom with either cervical cap, diaphragm, or sponge with spermicide (double barrier method)

**Males**

You must agree to the following during the study and for at least 28 days after the last dose of study drug:

- Refrain from donating sperm

**PLUS either**

- Be abstinent from heterosexual intercourse with a female able to have children as your preferred and usual lifestyle (abstinent on a long-term and persistent basis) and agree to remain abstinent

**OR**

Must agree to use birth control/barrier as detailed below:

- Agree to use a male condom and should also be advised of the benefit for a female partner to use a highly effective method of birth control as a condom may break or leak when having sexual intercourse with a female able to have children who is not currently pregnant
- In addition to male condom use, a highly effective method of birth control may be considered in female partners able to have children of male participants such as the methods detailed earlier in this document

## **Pregnancy-Related Risks**

The effects of the study drug taken with ritonavir on the following are not known and may involve unforeseeable risks:

- Fertility
- Pregnancy
- Unborn child
- Breastfeeding child

The study drug taken with ritonavir has not been studied in pregnant or lactating women. It is unknown if it can cause harm to an unborn child or if it is secreted in human milk.

Animal studies with the study drug have not shown a harmful effect on fetal (unborn) development. Animal studies with ritonavir have shown a harmful effect on reproduction.

In a large study of pregnant women who received ritonavir during pregnancy, there was no increase in birth defects.

Therefore, until more is known about the study drug, if you are pregnant, planning to become pregnant during the study, or breastfeeding a child, you should not take part in this study. An appropriate method of birth control is required.

Even if you use birth control during the study, there is a chance you or your partner could become pregnant. If you or your partner are pregnant or become pregnant during the study, the study drug or procedure may involve unforeseeable risks to the unborn child. A pregnancy test is not always right, especially in the early stages of pregnancy.

If you are a man whose partner is currently pregnant or plan to father a child, you cannot join this study.

If you want to stop your required birth control during the study, you should tell the study investigator **immediately**. You will be taken out of the study if you stop using birth control or you become pregnant.

### **Pregnancy Follow-Up**

If you or your partner become pregnant during the study or within 28 days after your last dose of study drug, please:

- Tell the study investigator **right away**
- Tell the health care provider(s) taking care of you/your partner during the pregnancy that you took part in this study

The study investigator will ask if you/your partner or your/her health care provider(s) are willing to provide updates on the progress of the pregnancy and its outcome. This information will be collected for safety monitoring follow-up.

## PARTICIPANT RESPONSIBILITIES AND RIGHTS

### Participant Responsibilities

- You must tell the study investigator if you previously took part in this study, have been in any other study in the past year, or are currently involved in any other study. This includes being in the follow-up visit period of another study
- You must agree to the scheduled visits, the study plan, lab tests, study procedures, and diet and activity restrictions (details listed later in this document)
- You must not take any medications (including over-the-counter medications, such as medications for cold or allergies, antacids, herbal supplements, , minerals, or vitamins) within 7 days before the first dose or at any time during the study
  - Hormonal methods of birth control are allowed
  - Before taking any drugs other than the study drugs, you must call the CRU for approval. It must first be approved by the study investigator
  - You must tell the study staff about any drugs taken during the study
- You must not have taken any medications or substances that are strong inducers of CYP3A4 (a gene that alters enzyme activity in the body) within 28 days of the first dose, or take any strong inhibitors of CYP3A4 during the study and for at least 4 days after the last dose
  - The study investigator or study staff will review a list of these medications and substances with you
- You must not take any investigational drugs within 30 days before the first dose of this study
- You must not have a history of sensitivity to ritonavir, or any of the components of the study drug or ritonavir
- You must not have received the COVID-19 vaccine within 7 days before screening or admission to the CRU or be scheduled to be vaccinated at any time while confined to the CRU for the study
- You may be asked to provide documentation of your childbearing status
- You must not have donated blood for at least 60 days before dosing. Plasma donation may be allowed
  - You cannot donate any blood or blood products at any time during this study. Donation is not allowed for at least 4 weeks after your last blood draw
- You must not have a history of excessive alcohol use or binge drinking and/or other illicit drug use within 6 months before screening
  - Binge drinking is defined as a pattern of 5 (male) or 4 (female) or more alcoholic drinks in about 2 hours
  - You should not drink more than 14 alcoholic drinks a week
  - A drink is defined as 8 oz. of beer, 3 oz. of wine, or 1 oz. of hard liquor
- You must not use tobacco or nicotine containing products in excess of the equivalent of 5 cigarettes or 2 chews of tobacco per day
  - You must not use tobacco- or nicotine-containing products for 24 hours before the first dose and while confined to the CRU
- You must not be using/taking any drugs of abuse (such as marijuana, cocaine, opioids, etc.). Urine tests will be done throughout the study to check for such drugs.
  - If a test is positive, you will not be allowed in the study
  - Urine collection may be monitored by a study staff member of the same sex
  - You have the right to refuse to be monitored, but may be disqualified from the study
  - While in this study, please do not eat anything that contains poppy seeds. They may cause a positive drug test
- You must not have any significant medical or psychiatric condition, as determined by the study investigator that may put your safety at risk or could have an effect on the study results

- Please let us know if you or a relative are a study staff member of Pfizer. If so, you may not take part in this study if you or your relative are supervised by the study investigator or are directly involved with the study

### **Activity Restrictions**

- You will need to stay in the CRU for 16 days in a row starting with check-in
  - You may need to stay in the CRU longer if you experience a longer study drug effect. This is for safety reasons
  - The study investigator or study staff will decide when it is safe for you to leave the CRU
- You must not do any strenuous exercise for at least 48 hours before each blood draw for safety labs. Examples of this include heavy lifting, weight training, or aerobics
  - Walking at a normal pace is allowed
- You cannot lie down for 4 hours after each morning dosing with study drug and ritonavir, unless needed for any study procedures
- You will be confined to the procedure room for the first 4 hours after dosing on Day 1 of each period, except to use the bathroom

### **Diet Restrictions**

- You must not eat or drink anything (except water) for at least 4 hours before each safety lab test and 10 hours before the collection of the pre-dose sample for study drug and ritonavir on Day 1 of each period
- Except for one hour before and one hour after dosing on Day 1 of each period, you may drink water freely
- You must not drink red wine for 7 days before the first dose. Red wine is not allowed through the collection of the last blood sample for study drug and ritonavir
- You must not eat or drink anything with alcohol for 24 hours (or as stated above for red wine) before check-in. Alcohol is not allowed through the collection of the last blood sample for study drug and ritonavir
  - Study staff may check your breath for alcohol. If alcohol is found, you will not be allowed in the study
- You must not eat or drink anything with caffeine for 24 hours before dosing. Caffeine is not allowed through the collection of the last blood sample for study drug and ritonavir
  - Caffeine can be found in different foods and drinks. Some examples include chocolate, coffee, tea, cola, Dr. Pepper®, and Mountain Dew®
- You must not eat or drink anything that has grapefruit or grapefruit-related citrus fruits for 7 days before the first dose. These are not allowed through collection of the last blood sample for study drug and ritonavir
  - Examples of citrus fruits that are not allowed are Seville oranges and pomelos
  - Fruit juices and smoothies may also contain grapefruit or these citrus fruits
- Lunch will be provided about 4 hours after dosing in each period
- Dinner will be provided about 9 – 10 hours after dosing in each period
- An evening snack may be permitted on Day 1 of each study period
- Meals (breakfast, lunch, dinner, and evening snacks) will be provided at appropriate times on all other study days

### **Possible Benefits of the Study**

This study is for research purposes only. There may be no direct benefit to you from taking part. However, information learned from this study may benefit other people in the future.



## Alternatives to Participating in this Study

This study is for research purposes only. Your alternative is to not take part in the study.

## Confidentiality

This section describes how we will collect, use, and share your personal information.

### What personal information may we collect about you during this study?

The study staff will collect information about you. This information may include:

- **Information that directly identifies you** such as your name, address, telephone number, date of birth, and Social Security Number
- **Personal information** such as your medical history, data from this study (including study results from tests and procedures), demographics (for example, age and gender) and other sensitive information that is needed for this study such as race, ethnicity, sexuality, substance use disorders, mental health disorders, diagnoses and treatment, and HIV status
- **Data from testing and analysis of biological samples** (such as blood or urine) This may also include genetic information
- **Data captured from electronic devices** if you complete the consent process using the eConsent tablet. This information may include:
  - The length of time it takes you to complete the consent process
  - The number of times you scroll between pages or click on the hyperlinked items
  - Your electronic signature

### Who will use my personal information, how will they use it, and where will it be stored?

Any personal information collected during this study will be stored by the study staff at the CRU. The study staff must keep your personal information confidential.

Your personal information will be accessed by:

- The study investigator and other study staff members
- Pfizer and its representatives (including its affiliated companies)
- People or organizations providing services for, or collaborating with, Pfizer
- Government or regulatory authorities (including the U.S. FDA and authorities in other countries)
- Advarra Institutional Review Board (IRB), the IRB that reviewed this study and any other committees responsible for overseeing the research

The individuals and groups listed above will use your personal information to conduct this study, and to comply with legal or regulatory requirements, including to:

- Determine if you are eligible for this study
- Provide you with reimbursement, as allowed by the study, for your time, effort, and certain expenses related to your participation
- Verify that the study is conducted correctly and that study data are accurate
- Answer questions from IRB(s) or government or regulatory agencies
- Assess your use of electronic devices in the study, for example, to determine how long it takes you to complete any eConsent module used for the study and your comprehension of the eConsent process
- Contact you during and after the study (if necessary)

- Follow-up on your health status, including using publicly available sources (for example, public databases or the internet) should the study staff be unable to contact you using information held on file
- Protect your vital interests such as providing information to an emergency department of a hospital if you are being treated
- Answer your data protection requests (if any)

If you provide someone else's personal information, you should make them aware that you have provided the information to us. Examples of this information include:

- Emergency contact information
- Details of family medical history

We will only use such information in keeping with this informed consent and applicable law.

### **Text Messages**

If you agree, the study staff, or a company working on behalf of Pfizer, may send text messages using an automated system to remind you of:

- Upcoming study appointments
- Other study-related information
  - Standard text messaging rates apply to all text messages. Message rates differ from carrier to carrier. Please contact your wireless phone provider to ask about the details of your plan
  - The contact information you have provided will be used for the sole purpose of communicating with you about the study
  - The text messages received through this program may appear on your mobile phone screen as soon as they are received. This may happen even when the phone is locked. These messages could be seen and read by others who are near your phone when the message is received
  - To discontinue receiving text messages, please contact the Pfizer New Haven CRU at 800-254-6398

You will be asked to make your choice at the end of this document.

### **What happens to my personal information that is sent outside the CRU?**

Before the study staff transfers your personal information outside the CRU, the study staff will:

- Replace your name with a unique code
- Remove information that directly identifies you

This is called "**Coded Information.**" The link between the code and your personal information will be kept confidential by the study staff.

Your Coded Information will be used by the following:

- Pfizer and its representatives (including its affiliated companies)
- People and/or organizations providing services to or collaborating with Pfizer
- Any organization that obtains all or part of Pfizer's business or the rights to the product under study
- Other researchers
- Advarra IRB
- Government or regulatory authorities

The above parties may use your coded information for the following purposes:

- **Conducting the study**, including:
  - Examining your response to the study drug
  - Understanding the study and the study results and learning more about COVID-19 (or SARS-CoV-2)
  - Assessing the safety of the study drug
- **Complying with legal and regulatory duties** such as:
  - Ensuring the study is conducted according to good clinical practice
  - Making required disclosures to IRB(s), or government or regulatory authorities
  - Seeking approval from government or regulatory authorities to market the study drug
    - It is possible that these government or regulatory authorities may disclose your Coded Information to other researchers for the conduct of future scientific research
  - Sharing study data with other researchers not affiliated with the study staff or Pfizer. This includes through publication on the internet or other ways. However, information that could directly identify you will not be made available to other researchers
- **Publishing summaries of the study results:**
  - In medical journals
  - On the internet
  - At educational meetings of other researchers

You will not be directly identified in any publication or report of the study. But some journal representatives may need access to your Coded Information to verify the study results and ensure the research meets the journal's quality standards. Also, journals may require that genetic and other information from the study that does not directly identify you be made available to other researchers for further research projects.

- **Improving the quality, design, and safety** of this study and other research studies

### **How are my biological samples handled?**

If biological samples are taken during the study, those samples will be handled in the same way as your Coded Information. All samples will be treated as required by law.

### **Can my coded information and biological samples be used for other research?**

Your Coded Information and biological samples may be used in other research projects to advance scientific research and public health. At this time, we do not know the specific details of these other research projects.

### **Study-Related Injuries**

You will also receive a card with information about this study. This information includes:

- The name or number of the study
- The CRU 24-hour phone number

You should keep this card with you in case you have a medical emergency. You can give this card to any healthcare provider if they need more information about the research study to provide the best treatment for you.

If you experience a research injury, the CRU will arrange for medical treatment at no cost to you. Pfizer will cover the costs of this treatment. A research injury is any physical injury or illness caused by being in this study. There are no plans to offer you payment for such things as:

- Lost wages
- Expenses other than medical care
- Pain and suffering

To help avoid injury, it is very important to follow all study directions. You can get more information about medical treatment for research injuries from the study investigator or study staff.

You must call the study investigator immediately if you experience a research injury. The number is listed on the first page of this consent document. A 24-hour answering service is available.

If you are treated for a research injury that is paid for by Pfizer, Pfizer or its representative will collect your:

- Medicare Health Insurance Claim Number or,
- Social Security Number

This is to determine your Medicare status. If you are a Medicare beneficiary, Pfizer will report the payment and information about the study you are in to the Centers for Medicare & Medicaid Services (CMS). This is in keeping with CMS reporting requirements. Pfizer will not use this information for any other purpose.

## **Legal Rights**

You will not lose any of your legal rights by signing and dating this consent document.

## **Whom To Contact About This Study**

During the study, if you experience any medical problems, suffer a research-related injury, or have questions, concerns or complaints about the study such as:

- Whom to contact in the case of a research-related injury or illness;
- Payment or compensation for being in the study, if any;
- Your responsibilities as a research participant;
- Eligibility to participate in the study;
- The study investigator's or study site's decision to exclude you from participation;
- Results of tests and/or procedures;

**Please contact the study investigator at the telephone number listed on the first page of this consent document.**

If you seek emergency care, or hospitalization is required, alert the treating physician that you are participating in this research study.

An institutional review board (IRB) is an independent committee established to help protect the rights of research participants. If you have any questions about your rights as a research participant, contact:

- By **mail**:  
Study Subject Adviser  
Advarra IRB  
6100 Merriweather Dr., Suite 600  
Columbia, MD 21044
- or call **toll free**: 877-992-4724
- or by **email**: [adviser@advarra.com](mailto:adviser@advarra.com)

Please reference the following number when contacting the Study Subject Adviser: Pro00061284.

### **Link to Additional Information**

A description of this clinical trial will be available on <http://www.ClinicalTrials.gov>, as required by U.S. Law. This Web site will not include information that can identify you. At most, the Web site will include a summary of the results. You can search this Web site at any time.

### **Payment for Taking Part in the Study**

Valid proof of a Social Security Number (SSN) is required. This is needed before any payment can be made.

The amount of payment is based on a number of things including the length of the study. Travel pay for this study has been included in the payment.

You may be eligible for a travel and hotel bonus payment:

- \$0.20/mile per one-way trip to or from the CRU based on your home address
- For participants traveling long distances, a 1-night hotel stipend (\$150.00) for the night prior to your visit(s), if needed

Additional travel services may be arranged on your behalf at no cost to you.

All payments will be in U.S. dollars. Compensation may be provided on a loadable debit card or by paper check. Pfizer New Haven CRU reserves the right to determine method of payment.

If at any time you test positive for drugs of abuse, you will not be paid for your visit. Further, if you test positive for drugs of abuse:

- You will not be allowed to be in this study
- You will not be allowed to be in any future studies
- You will be removed permanently from our active database

### **Screening Payments**

The screening payment is listed below. You will receive this payment within 2 weeks of screening. If you leave the screening early, you will not be paid.

Screening Visit at CRU	\$175.00
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Additional payments are made if we ask you to return to the CRU to repeat any screening tests (\$100.00 per visit).

## **Study Payments**

The payment for completing the entire study is listed below. Please be aware that:

- If you do not follow instructions (including those listed in the New Haven CRU House Rules), if you are late for blood draws, or if you miss procedures, your payment may be reduced. You will be paid a prorated amount based on the extent of your participation if:
  - You are not able to complete the study
  - You choose to leave the study
  - You are withdrawn from the study early by the study investigator for non-safety-related issues
  - The study is stopped early
  - You are qualified but not chosen to participate
- You will not be given the study completion bonus if you drop out of the study early
- Partial payments are planned during the study. Details will be provided at screening
- A final payment will be provided to you within 2 weeks of finishing the study
- If total payment by Pfizer is \$600.00 or more in a calendar year, your payment will be reported to the Internal Revenue Service in accordance with Federal tax law. In some countries, compensation may not be allowed due to immigration status
- Pfizer may use information resulting from the study or samples collected in the study to develop products or processes. Pfizer may make a profit from these. There are no plans to pay you or provide you with any products developed from this research. Pfizer will own all products or processes that are developed using information or samples from the study

The decision to admit you into the study is based upon results of pre-study requirements. No one is guaranteed a place in the study until the first dose is complete. Enough numbers of participants will be brought in to be sure we fill the study.

<b>STUDY PARTICIPANTS</b>		
<b>Type of Activity</b>	<b>Payment per Activity</b>	<b>Total Number</b>
Overnight Stay*	\$240.00	16 nights
Duration of Follow-Up Period (Discharge to Follow-Up Phone Call)	\$15.00	26 days
Follow-Up Phone Call	\$100.00	1
Completion Bonus	\$1,200.00	
Total Payment	\$5,530.00	

<b>BACK-UP PARTICIPANTS</b>	
<b>Type of Activity</b>	<b>Payment per Activity</b>
Overnight Stay*	\$300.00
Daytime Stay	\$190.00

\*Overnight stay rates include an increase for COVID restriction inconveniences

Additional payments are made if we ask you to return to the CRU or to outside medical providers for additional tests (\$250.00 per visit). During times that you need to stay in the CRU, you will not be paid more for repeat or added tests.

## **Costs for Study Participants**

The study drug, study-related procedures, and study visits will be provided at no cost to you.

## **Your Decision to be in the Study**

Taking part in this study is voluntary. You cannot be forced to be in this study. You may leave the study at any time without penalty or loss of any benefits. Your future medical care will not be affected. The study investigator, Pfizer Inc, or the FDA may take you out of the study without your permission at any time for the following reasons:

- You do not follow the instructions of the study investigator
- We find out you should not be in the study
- The study is stopped
- The study becomes harmful to your health
- You do not follow the New Haven CRU House Rules

If you leave the study or if you are taken out of the study for any reason, you may be asked to return to the CRU for a final visit. You may have some end of study tests at this visit. This is to make sure it is safe for you to leave the study. The data collected to the point of your withdrawal remains part of the study database and may not be removed.

If you are withdrawn from the study, or decide to stop the study, you can ask that any unused samples that were collected be destroyed. If you would like to have this done, please contact the study investigator. However, your samples may not be able to be destroyed because:

- They may no longer be traceable to you
- They may have already been used
- They may have been given to a third party

## **New Findings**

If there is new information about the safety of the study drug or changes in the study tests, we will tell you in a timely manner. You can then decide if you still want to be in the study.

**AGREEMENT TO BE IN THE STUDY****PIMS # \_\_\_\_\_**

This consent document contains important information to help you decide if you want to be in the study. If you have any questions that are not answered in this consent document, ask one of the study staff.

By checking each of the following, you are agreeing that the statements below are true: Please  
Check

A.	This consent document is written in a language I understand	
B.	I understand the information in this consent document	
C.	I have been given enough time to ask questions and talk about the study	
D.	All of my questions have been answered completely	
E.	I have received enough information about the study	
F.	I agree that I was not pressured by the study investigator or the study staff to be in this study	
G.	I know that I can leave the study at any time without giving a reason and without affecting my health care	
H.	I know that my health records from this study may be reviewed by Pfizer Inc and by government officials	
I.	I know that I cannot be in another study while I am in this study	
J.	I have received the HIV, Hepatitis A, B, and C pamphlets and reviewed the information in them	

**IF YOU DID NOT CHECK THE BOX NEXT TO ANY OF THE ABOVE QUESTIONS  
OR YOU ARE UNABLE TO ANSWER ANY OF THE ABOVE QUESTIONS,  
YOU SHOULD NOT SIGN AND DATE THIS CONSENT DOCUMENT**

**Text Messages:**

Please check the box next to your choice.

- Yes, I agree that the study staff may send me text messages as described in the Confidentiality section
- No, I do NOT agree that the study staff may send me text messages as described in the Confidentiality section

- You will get a copy of this signed and dated consent form for your records
- You agree to participate in this study
- It is your responsibility to tell the study investigator about all changes in your physical or mental health during the study

\_\_\_\_\_  
Printed Name of Adult Study Participant (Name as appears on SSN/Tax ID Card)

\_\_\_\_\_  
Signature of Adult Study Participant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name or Initials of Person Explaining Informed Consent

\_\_\_\_\_  
Signature of Person Explaining Informed Consent

\_\_\_\_\_  
Date